

Attorney's Docket No. 5718-119 (035718/241421)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Sewalt et al.*  
Appl. No.: 10/005,429  
Filed: December 3, 2001  
For: COMPOSITIONS AND METHODS FOR ALTERING THE DISULFIDE STATUS OF PROTEINS

Group Art Unit: 1638  
Examiner: Not yet assigned

March 22, 2002

Commissioner for Patents  
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97

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Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

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Polly P. Burton

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 3

Complete if Known	
Application Number	10/005,429
Filing Date	December 3, 2001
First Named Inventor	Sewalt
Group Art Unit	1638
Examiner Name	Not yet assigned
Attorney Docket Number	5718-119 (035718/241421)

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	4,849,346	07-18-1989	Wood <i>et al.</i>	
	2	4,904,602	02-27-1990	Pigiet <i>et al.</i>	
	3	5,646,016	07-08-1997	McCoy <i>et al.</i>	
	4	5,792,506	08-11-1998	Buchanan <i>et al.</i>	
	5	5,952,034	09-14-1999	Buchanan <i>et al.</i>	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	6	EP 0 208 539 A2 ✓	01-14-1987	Repligen Corporation		
	7	EP 0 672 127 B1 ✓	09-20-1995	Buchanan <i>et al.</i>		
	8	EP 0 768 382 A2 ✓	04-16-1997	Hsp Research Institute, Inc.		
	9	EP 0 853 088 A2 ✗	07-15-1998	Oriental Yeast Co., Ltd.		
	10	EP 0 863 154 A1 ✗	09-09-1998	The Regents of the University of California		
	11	WO 95/01425 A1 ✗	01-12-1995	Novo Nordisk A/S		
	12	WO 96/03505 A1 >	02-08-1996	Institut National de la Recherche Agronomique		
	13	WO 99/20122 A1 >	04-29-1999	The Regents of the University of California		
	14	WO 00/14239 A2 ✗	03-16-2000	E.I. Du Pont de Nemours and Company		
	15	WO 00/36126 A1 ✗	06-22-2000	Novartis AG		
	16	WO 00/58352 A2 ✓	10-05-2000	The Regents of the University of California		
	17	WO 00/58453 A2 ✓	10-05-2000	The Regents of the University of California		
	18	WO 01/98509 A2 ✓	12-27-2001	Syngenta Participations AG		

Examiner Signature	Date Considered
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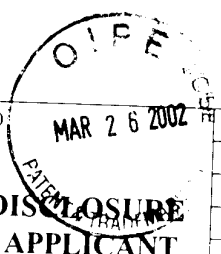
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 2 of 3

Complete if Known	
Application Number	10/005,429
Filing Date	December 3, 2001
First Named Inventor	Sewalt
Group Art Unit	1638
Examiner Name	Not yet assigned
Attorney Docket Number	5718-119 (035718/241421)

## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	19	BESSE, I., <i>et al.</i> , "Thiocalsin: A Thioredoxin-Linked, Substrate-Specific Protease Dependent on Calcium," <i>Proc. Natl. Acad. Sci. USA</i> , April 1996, pp. 3169-3175, Vol. 93.	
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	29	JIAO, J., <i>et al.</i> , "Effect of Thioredoxin-Linked Reduction on the Activity and Stability of the Kunitz and Bowman-Birk Soybean Trypsin Inhibitor Proteins," <i>J. Agric. Food Chem.</i> , 1992, pp. 2333-2336, Vol. 40, American Chemical Society, USA.	
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	31	KOBREHEL, K., <i>et al.</i> , "Role of the NADP/Thioredoxin System in the Reduction of Alpha-Amylase and Trypsin Inhibitor Proteins," <i>The Journal of Biological Chemistry</i> , August 1991, pp. 16135-16140, Vol. 266(24), The American Society for Biochemistry and Molecular Biology, Inc., USA.	
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- 35 MOUAHEB, N., *et al.*, "In Vivo Functional Discrimination Between Plant Thioredoxins by Heterologous Expression in the Yeast *Saccharomyces cerevisiae*," *Proc. Natl. Acad. Sci. USA*, March 1998, pp. 3312-3317, Vol. 95, The National Academy of Sciences, USA.
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